

**DOCKET NO.: MSFT-0187/154573.01**

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**John L. Manferdelli, et al.**

**Confirmation No.: 4937**

**Application No.: 09/604,518**

**Group Art Unit: 2131**

**Filing Date: June 27, 2000**

**Examiner: Vaughan, Michael R**

**For: SYSTEM AND METHOD FOR INTERFACING A SOFTWARE PROCESS TO  
SECURE REPOSITORIES**

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DATE OF DEPOSIT: October 21, 2004

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Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **32, 43 thru 47, 58 thru 62, 73 thru 77, 88** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **33 thru 42, 48 thru 57, 63 thru 72, 78 thru 87, 89 thru 93** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.


There are no listed references which are not in the English language.

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**PATENT**

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-21-04

  
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**Form PTO-1449 Modified**

List of Patent and Publications  
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U.S. Department of Commerce  
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

32	Jaeger, T., "Flexible Control of Downloaded Executable Content", 1999, <i>ACM Transactions on Information and System Security</i> , 2(2), 177-228

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**U. S. PATENT DOCUMENTS**

Examiner initial		Document No.	Date	Name	Class	Subclass
	33	2002/0002611	01/03/02	Vange, Mark	709	223
	34	5,509,070	04/16/96	Schull	380	4
	35	5,734,823	03/31/98	Saigh, et al.	395	200.06
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	38	5,864,620	01/26/99	Pettitt	380	4
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	40	6,226,618 B1	05/01/01	Downs, et al.	705	1
	41	6,629,138 B1	09/30/03	Lambert, et al.	709	224
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**FOREIGN PATENT DOCUMENTS**

Examiner initial		Document No.	Date	Country	Translation	
					YES	NO
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	44	WO 00/08909	02/24/00	PCT		
	45	WO 00/21239	04/13/00	PCT		
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	<b>48</b>	2001/0011238	08/02/01	Eberhard, et al.	705	27
	<b>49</b>	5,708,780	01/13/98	Levergood, et al.	395	200.12
	<b>50</b>	5,724,425	03/03/98	Chang, et al.	380	25
	<b>51</b>	5,883,955	03/16/99	Ronning	380	4
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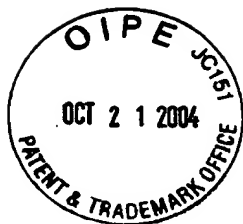
Examiner initial		Document No.	Date	Name	Class	Subclass
	<b>63</b>	4,924,378	05/08/90	Hershey, et al.	713	201
	<b>64</b>	5,222,134	06/22/93	Waite, et al.	380	4
	<b>65</b>	5,784,609	07/21/98	Kurihara, A.	707	9
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	<b>74</b>	WO 98/44402	10/08/98	PCT		
	<b>75</b>	WO 99/01815	01/14/99	PCT		
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	<b>80</b>	5,530,235	06/25/96	Stefik, et al.	235	492
	<b>81</b>	5,625,693	04/29/97	Rohatgi, et al.	380	23
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	<b>83</b>	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	<b>84</b>	5,742,677	04/21/98	Pinder, et al.	380	242
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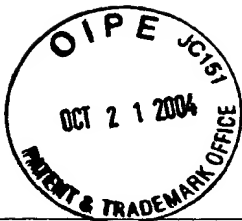
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	<b>92</b>	6,049,612	04/11/00	Fiedler, et al.	380	44
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